



**Committee: Accounts, Audit and Risk Committee**

**Date: Wednesday 22 January 2020**

**Time: 6.30 pm**

**Venue: Bodicote House, Bodicote, Banbury, OX15 4AA**

### **Membership**

Councillor Mike Kerford-Byrnes (Chairman)  
Councillor Hannah Banfield  
Councillor Nicholas Mawer  
Councillor Tom Wallis

Councillor Hugo Brown (Vice-Chairman)  
Councillor Nathan Bignell  
Councillor Les Sibley  
Councillor Sean Woodcock

## **AGENDA**

**1. Apologies for Absence and Notification of Substitute Members**

**2. Declarations of Interest**

Members are asked to declare any interest and the nature of that interest which they may have in any of the items under consideration at this meeting.

**3. Petitions and Requests to Address the Meeting**

The Chairman to report on any requests to submit petitions or to address the meeting.

**4. Minutes (Pages 1 - 4)**

To confirm as a correct record the Minutes of the meeting of the Committee held on 20 November 2019.

**5. Chairman's Announcements**

To receive communications from the Chairman.

## 6. **Urgent Business**

The Chairman to advise whether they have agreed to any item of urgent business being admitted to the agenda.

## 7. **Internal Audit - Progress Report 2019/20** (Pages 5 - 24)

Report of the Executive Director of Finance (Interim)

### **Purpose of report**

To receive CWAS's progress report summarising their internal audit work to date.

### **Recommendations**

The meeting is recommended:

- 1.1 To note the contents of the 2019/20 progress report from CWAS.

## 8. **Draft Capital, Investment and Treasury Management Strategies 2020-21** (Pages 25 - 64)

Report of the Executive Director of Finance (Interim)

### **Purpose of report**

To submit the draft capital, investment and treasury management strategy reports for 2020-21. These reports were presented as draft to AARC on 20 November 2019 and have subsequently been updated.

### **Recommendations**

The meeting is recommended:

- 1.1 To recommend the draft strategies for 2020-21 to Council for adoption.

## 9. **Work Programme** (Pages 65 - 66)

To consider and review the Work Programme.

## 10. **Exclusion of Press and Public**

The following reports contain exempt information as defined in the following paragraphs of Part 1, Schedule 12A of Local Government Act 1972.

3– Information relating to the financial or business affairs of any particular person (including the authority holding that information).

7 – Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.

Members are reminded that whilst the following items have been marked as exempt, it is for the meeting to decide whether or not to consider each of them in private or in public. In making the decision, members should balance the interests of individuals or the Council itself in having access to the information. In considering their discretion members should also be mindful of the advice of Council Officers.

Should Members decide not to make a decision in public, they are recommended to resolve as follows:

“That under Section 100A of the Local Government Act 1972, the public and press be excluded from the meeting for the following items of business on the grounds that, if the public and press were present, it would be likely that exempt information falling under the provisions of Schedule 12A, Part I, Paragraphs 3 and 7 would be disclosed to them, and that in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”

**11. Progress of Counter Fraud Service (Pages 67 - 120)**

Exempt report of the Senior Investigation Officer – Corporate Fraud Team

**12. Closure of Account 2018/19 Update**

Exempt Verbal Update by Executive Director Finance (Interim) and Assistant Director (Interim) Finance.

**Councillors are requested to collect any post from their pigeon hole in the Members Room at the end of the meeting.**

## **Information about this Meeting**

### **Apologies for Absence**

Apologies for absence should be notified to [democracy@cherwellandsouthnorthants.gov.uk](mailto:democracy@cherwellandsouthnorthants.gov.uk) or 01295 221554 prior to the start of the meeting.

### **Declarations of Interest**

Members are asked to declare interests at item 2 on the agenda or if arriving after the start of the meeting, at the start of the relevant agenda item.

## **Local Government and Finance Act 1992 – Budget Setting, Contracts & Supplementary Estimates**

Members are reminded that any member who is two months in arrears with Council Tax must declare the fact and may speak but not vote on any decision which involves budget setting, extending or agreeing contracts or incurring expenditure not provided for in the agreed budget for a given year and could affect calculations on the level of Council Tax.

### **Evacuation Procedure**

When the continuous alarm sounds you must evacuate the building by the nearest available fire exit. Members and visitors should proceed to the car park as directed by Democratic Services staff and await further instructions.

### **Access to Meetings**

If you have any special requirements (such as a large print version of these papers or special access facilities) please contact the officer named below, giving as much notice as possible before the meeting.

### **Mobile Phones**

Please ensure that any device is switched to silent operation or switched off.

### **Queries Regarding this Agenda**

Please contact Sharon Hickson, Democratic and Elections  
democracy@cherwellandsouthnorthants.gov.uk, 01295 221554

**Yvonne Rees**  
**Chief Executive**

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